

**18 NCAC 06A .2106 FILING REQUIREMENTS FOR LPO**

(a) An issuer of an LPO shall comply with Rule .2007 of this Subchapter.

(b) In lieu of the Form NCE required by Rule .2007 of this Subchapter, the issuer shall file a Form NC Claim of Exemption – LPO (Form NCE-LPO).

*History Note: Authority G.S. 78A-17.1(a)(5); 78A-17.1(f); 78A-49(a); 78A-49(d); 78A-64; S.L. 2016-103, s.4.(a); Eff. April 1, 2017 to expire on April 1, 2026.*